



agency for persons with disabilities

*State of Florida*

**Home and Community Based Services (HCBS) Waiver  
Monthly Surplus-Deficit Report for  
Waiver Program Expenditures  
FY 2020-21**

**November 2020**

**Waiver Expenditures and Projections**  
**by Date of Payment**  
**General Revenue Only**

	Actual Expenditures	AHCA Total As of 10/31/2020	AHCA Total with Actuals	2020-21 GAA	Percent of Appropriation Remaining
Month	FY 2020/21	FY 2020/21		\$ 494,990,372	
2020 July	\$ 21,110,838	\$ 14,710,298	\$ 21,110,838	\$ 473,879,534	95.7%
2020 August	\$ 31,838,377	\$ 34,407,162	\$ 31,838,377	\$ 442,041,157	89.3%
2020 September	\$ 29,982,571	\$ 42,588,986	\$ 29,982,571	\$ 412,058,586	83.2%
2020 October	\$ 29,114,713	\$ 35,492,555	\$ 29,114,713	\$ 382,943,873	77.4%
2020 November		\$ 36,014,742	\$ 37,638,230	\$ 345,305,643	69.8%
2020 December		\$ 46,819,580	\$ 48,930,133	\$ 296,375,510	59.9%
2021 January		\$ 33,280,850	\$ 34,781,098	\$ 261,594,411	52.8%
2021 February		\$ 34,750,697	\$ 36,317,204	\$ 225,277,207	45.5%
2021 March		\$ 45,625,007	\$ 47,681,710	\$ 177,595,497	35.9%
2021 April		\$ 34,825,393	\$ 36,395,268	\$ 141,200,229	28.5%
2021 May		\$ 34,975,753	\$ 36,552,405	\$ 104,647,824	21.1%
2021 June		\$ 45,889,390	\$ 47,958,012	\$ 56,689,812	11.5%
2021 July CF		\$ 20,258,593	\$ 21,171,818	\$ 35,517,994	7.2%
2021 August CF		\$ 2,896,032	\$ 3,026,581	\$ 32,491,413	6.6%
2021 Sept CF		\$ 800,352	\$ 836,431	\$ 31,654,982	6.4%
<b>Total</b>	<b>\$ 112,046,499</b>	<b>\$ 463,335,390</b>	<b>\$ 463,335,390</b>	<b>\$ 31,654,982</b>	

**Note:** The projection model has been updated to reflect the change in FMAP based on The Families First Coronavirus Response Act which increased the Federal Medical Assistance Percentage (FMAP) effective January 2020. The change in FMAP rate will require a realignment of budget authority for AHCA and other Health and Human Services (HHS) agencies, including APD, in which there is double-budget. Once this realignment has occurred, the Surplus-Deficit Model will be updated to reflect the realignment of General Revenue funds.

## HCBS Waiver Enrollment

Enrollment Category	Jul-20	Aug-20	Sep-20	Oct-20	Nov-20	Dec-20	Jan-21	Feb-21	Mar-21	Apr-21	May-21	Jun-21	Grand Total Clients Enrolled by Category
<b>Beginning Enrollment</b>	<b>35,073</b>	<b>35,081</b>	<b>35,119</b>	<b>35,130</b>									
Crisis													
Non-Waiting List	12	10	4	5									31
3-Intensive Needs	0	2	6	3									11
4-Caregiver Over Age 70	3	0	2	0									5
5-Transition from School	1	0	0	1									2
6-Age 21 and Older	60	30	43	34									167
7-Under Age 21	32	20	21	26									99
8-Not Yet Assessed	0	0	0	0									0
CBC Children	6	3	15	11									35
Military Dependents	0	0	0	1									1
Phelan-McDermid Syndrome	0	0	0	0									0
Private ICF or Nursing Facility	0	2	1	1									4
Public ICF - DDCs	2	2	1	1									6
Waiting List to Waiver Offers (Previous Waves)	0	0	0	0									0
Re-enrollments (< 1 year)	1	0	4	6									11
Disenrollments	(109)	(31)	(86)	(37)									(263)
<b>Ending Enrollment</b>	<b>35,081</b>	<b>35,119</b>	<b>35,130</b>	<b>35,182</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>109</b>

	Jul-20	Aug-20	Sep-20	Oct-20	Nov-20	Dec-20	Jan-21	Feb-21	Mar-21	Apr-21	May-21	Jun-21	Grand Totals
<b>Significant Additional Needs (SANS) Annualized Allocations ALL FUNDS (Amounts provided are ALLOCATIONS ONLY and not actual expenditures)</b>	\$ 5,676,836	\$ 4,866,326	\$ 5,913,102	\$ 5,830,380									\$ 22,286,644
<b>Client Count Represented by SANS Allocation</b>	407	269	246	354									1,276

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## Service Utilization

APD monitors claims data and publishes data sets based on services provided. As the data sets are not final until AHCA compiles and adjusts the amounts, the totals are preliminary and useful in forecasting expenditures and service utilization. However, these totals do not represent the exact final amounts due. This table constitutes a consolidated view of all services for iBudget and CDC+ data.

Service Groupings	July-20	August-20	September-20	October-20	November-20	December-20	January-21	February-21	March-21	April-21	May-21	June-21	Grand Total
CDC+Allowance	11,391,528	11,517,790	11,518,859	11,674,564									46,102,741
Behavior Analysis	1,435,683	1,294,962	1,514,471	1,379,700									5,624,815
Behavior Assistance	169,716	143,558	193,959	190,905									698,138
Diet & Dental Care	14,171	8,888	6,011	8,192									37,262
Employment	301,419	286,840	377,854	302,794									1,268,906
Home & Environ Access	149,302	57,965	107,544	81,911									396,722
In-Home Svs/Companion	21,602,150	21,418,548	31,954,658	26,900,273									101,875,630
Med/Personal Equip	96,875	55,834	83,068	21,610									257,387
Medical Supplies	1,406,540	1,144,082	1,470,433	1,225,530									5,246,586
Nursing/Spcl Med Care	4,299,000	3,451,341	4,650,642	3,818,935									16,219,918
Residential Habilitation - Behavior Focus	111,840	33,022	88,922	65,448									299,232
Residential Habilitation - Intensive Behavior	6,215,971	5,852,985	8,580,803	6,922,625									27,572,384
Residential Habilitation - Standard or ALF	31,751,965	25,052,375	47,872,539	28,492,742									133,169,621
Respite	969,511	791,239	1,028,487	844,883									3,634,120
Support Coach	2,053,039	1,852,638	2,245,411	2,000,757									8,151,845
Support Coordination	5,054,148	4,252,461	5,473,843	4,050,503									18,830,955
Therapeutic Svs	586,772	489,360	697,729	573,369									2,347,230
Training - Facility	1,072,089	1,130,876	3,346,068	4,223,173									9,772,206
Training Off Site	24,242	12,688	78,964	79,109									195,003
Transportation	564,551	768,518	894,705	1,113,390									3,341,165
<b>Grand Total</b>	<b>89,270,511</b>	<b>79,615,973</b>	<b>122,184,970</b>	<b>93,970,412</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>385,041,866</b>

Source: APD's Allocation, Budget and Contract Control (ABC) System. □

**NOTE:** For July 2020, service utilization data for the below service groupings do not include expenditure information for those providers who accepted advance payments for services in lieu of billing as allowed by AHCA as part of the COVID-19 response. (AHCA Florida Medicaid Health Care Alert dated March 18, 2020).

The affected service groupings are as follows:

- In-Home Svs/Companion,
- Residential Habilitation (all levels),
- Training - Facility and Training Off Site

If you have questions, please feel free to contact:

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